



ECMA/TC39-TG1/02/6



**MITRE**

# XML for ECMAScript



John Schneider

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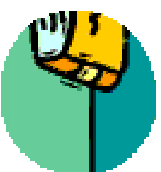
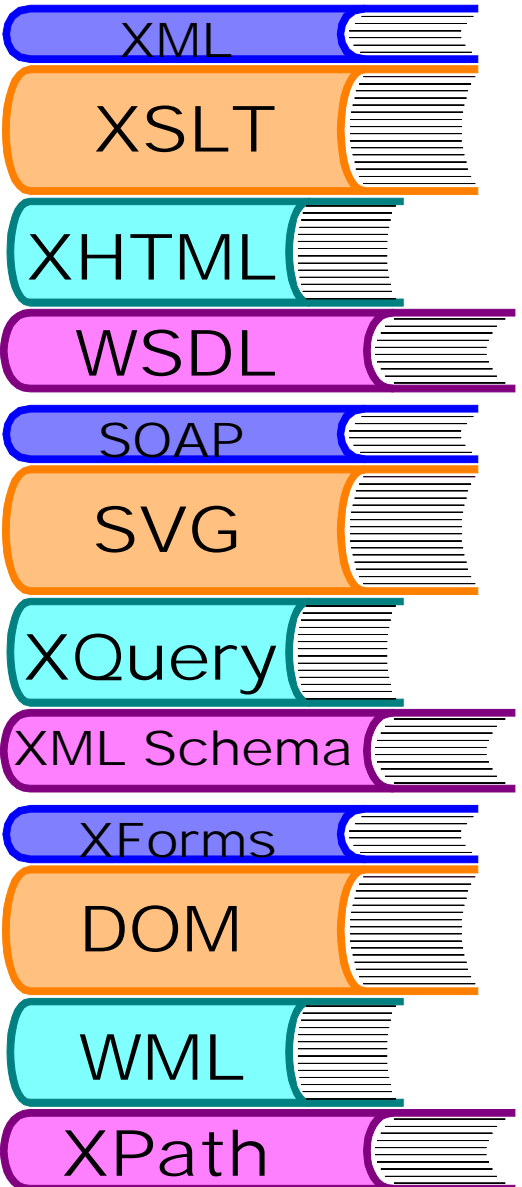
# Overview

- The Problem
- The Opportunity
- Initial Attempts
- Proposed Approach
- Conclusions
- Recommendations

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# Scripters are Swamped with XML



# The XML Programming Model

- Provides several options to solve a given problem (e.g., DOM, XSLT, XQuery)
- Introduces a steep learning curve
- Requires specialized knowledge and complex concepts (e.g., trees, nodes, recursive descent, functional lang.)
- Minimizes reuse of Scripter's skills and knowledge
- Often requires mixed models (objects, trees, templates, queries, paths)

# The XML Programming Model

## A Simple Example

Given an XML “order” document with the following shape, compute the total price and add it to the order:

- order
  - customer
    - name
    - address
  - item\*
    - description
    - quantity
    - price

The scripter thinks:

```
function addTotal(order) {  
  total = 0;  
  for (i in order.item) {  
    total += i.price * i.quantity;  
  }  
  order.total = total;  
}
```

# XSLT

## XSLT requires:

```
<xsl:stylesheet version="1.0"
  xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
  <xsl:variable name="total" select="0"/>
  <xsl:template match="item" priority="1">
    <xsl:set-variable name="total"
      select="$total + ./price * ./quantity"/>
  </xsl:template>
  <xsl:template match="*/|comment()|processing-
    instruction(">
    <xsl:value-of "."/>
    <xsl:apply-templates/>
  </xsl:template>
  <xsl:template match="/*[position() = last()]">
    <xsl:value-of "."/>
    <xsl:apply-templates/>
    <total><xsl:value-of select="$total"/></total>
  </xsl:template>
</xsl:stylesheet>
```



## The scripter thinks:

```
function addTotal(order) {
  total = 0;
  for (i in order.item) {
    total += i.price * i.quantity;
  }
  order.total = total;
}
```

# XSLT

XSLT requires:

```
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  <xsl:variable name="total" select="0"/>
  <xsl:template match="item" priority="1">
    <xsl:set-variable name="total"
      select="$total + ./price * ./quantity"/>
  </xsl:template>
  <xsl:template match="*/|comment()|processing-
  instruction()">
```

Ok, I cheated. You actually need scripting and the DOM too.

```
<xsl:value-of select="." />
<xsl:apply-templates/>
<total><xsl:value-of select="$total"/></total>
</xsl:template>
</xsl:stylesheet>
```



The scripter thinks:

```
function addTotal(order) {
  total = 0;
  for (i in order.item) {
    total += i.price * i.quantity;
  }
  order.total = total;
}
```



# XSLT

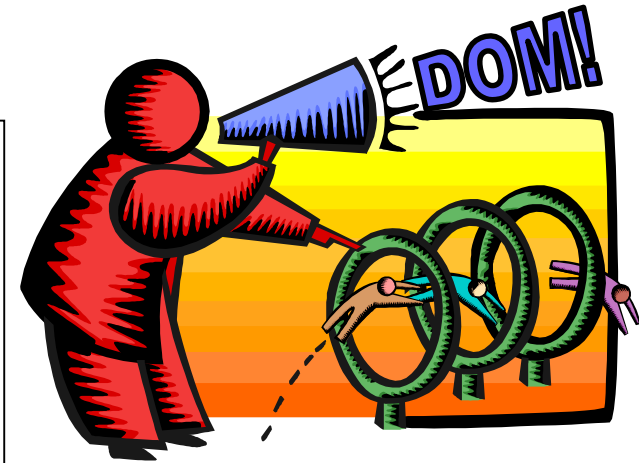
## What's Missing?

- A familiar processing model
  - Most scripters immediately subvert recursive flow to achieve procedural patterns -- results in more code
- A single model
  - To accomplish anything mildly complex requires mixing XSLT, XPath, scripting and the DOM
- A flat learning curve
  - Requires a lot of specialized knowledge and skills (templates, recursion, nodes, trees, priority rules, etc.)
- Reuse of familiar concepts
  - What happened to my objects, properties and methods?

# The DOM

The DOM requires:

```
function addTotal(document) {
  total = 0;
  items = document.getElementsByTagName("item");
  for (i = 0; i < items.length; i++) {
    item = items.item(i);
    price = item.getElementsByTagName("price").item(0);
    priceValue = price.item(0).getNodeValue();
    quantity = item.getElementsByTagName("quantity").item(0);
    quantityValue = quantity.item(0).getNodeValue();
    total += priceValue * quantityValue;
  }
  totalText = document.createTextNode(total);
  totalElem = document.createElement("total");
  totalElem.appendChild(totalText);
  document.item(0).appendChild(totalElem);
}
```



The scripter thinks:

```
function addTotal(order) {
  total = 0;
  for (i in order.item) {
    total += i.price * i.quantity;
  }
  order.total = total;
}
```

# The DOM

## What's Missing?

- A single model
  - Mixes tree navigation metaphors and object navigation to achieve largely the same goal
- A flat learning curve
  - Requires specialized knowledge and skills (nodes, trees, a large, complex interface hierarchy, etc.)
- Reuse of familiar concepts
  - My objects, properties and methods feel a little funny

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# The Opportunity

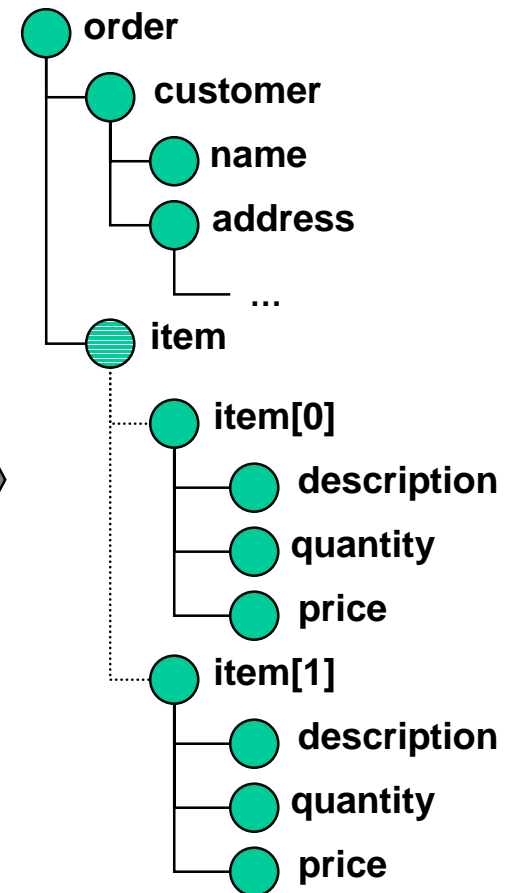
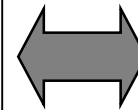
- Provide a simple, familiar, general purpose programming model for XML that:
  - Leverages existing skills and knowledge
  - Reuses familiar concepts, operators and syntax
  - Flattens the learning curve
  - Minimizes need for specialized skills and knowledge
  - Enables scripters immediately with little or no training
- Ultimately, provide a simple object abstraction for creating, navigating and manipulating XML

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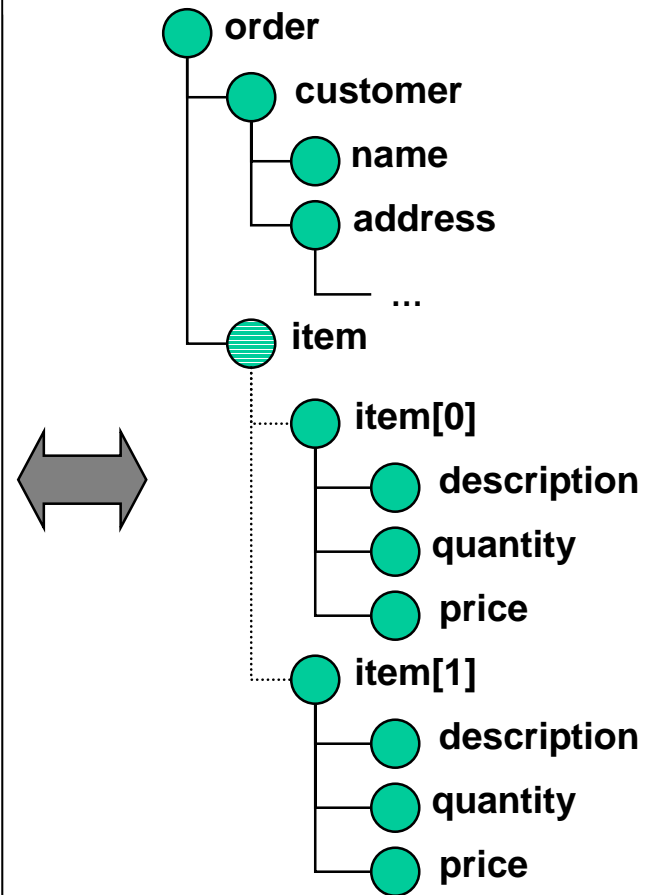
# Mapping XML to Objects

```
<order>
  <customer>
    <name>I. Wannabuy</name>
    <address> ... </address>
  </customer>
  <item>
    <description>Small Rodent, Generic</description>
    <quantity>35</quantity>
    <price>29.99</price>
  </item>
  <item>
    <description>Catapult</description>
    <quantity>1</quantity>
    <price>149.95</price>
  </item>
</order>
```



# Mapping XML to Objects

```
order = {  
  customer: {  
    name: "I. Wannabuy",  
    address: ... ,  
  }  
  item [  
    {  
      description: "Small Rodent, Generic",  
      quantity: 35,  
      price: 29.99  
    },  
    {  
      description: "Catapult",  
      quantity: 1,  
      price: 149.95  
    }  
  ]  
}
```

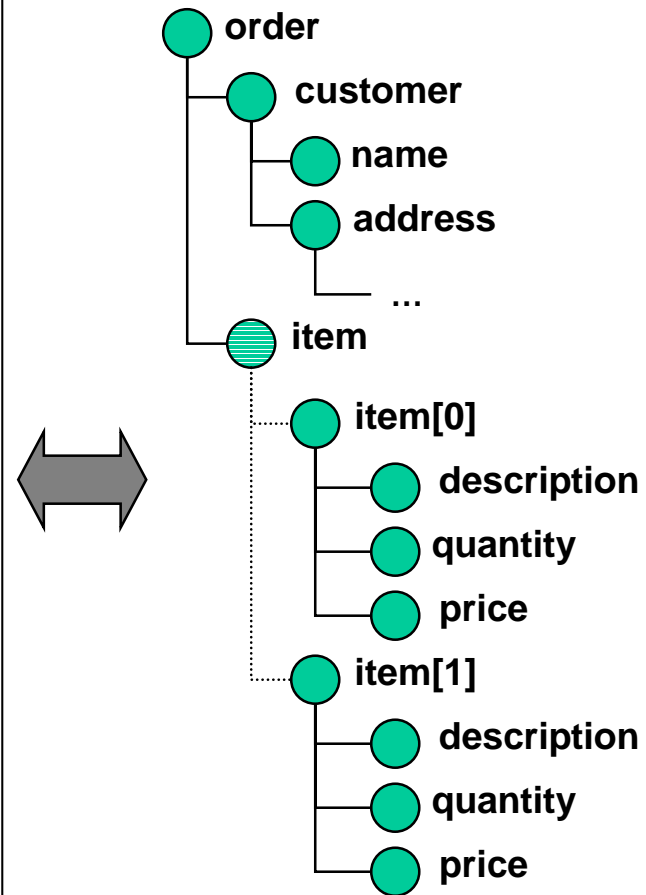


Great! So we can just map XML onto ECMAScript Objects. Right?



# Mapping XML to Objects

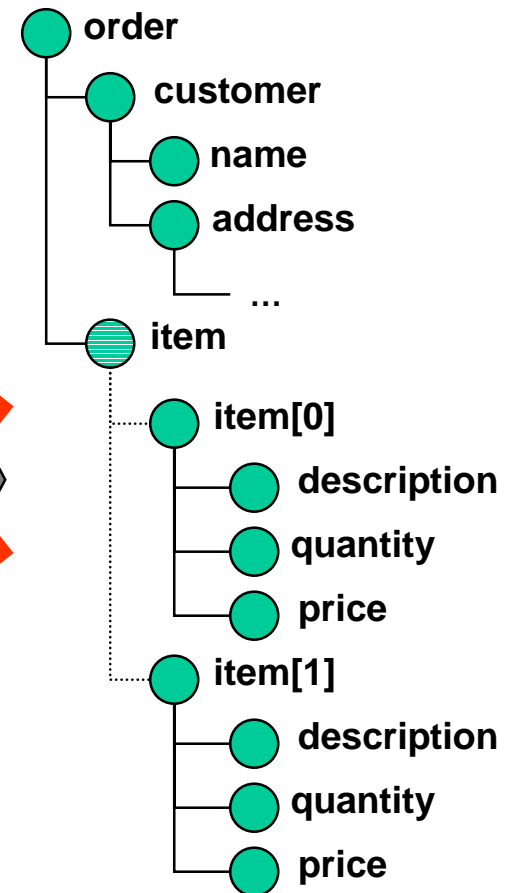
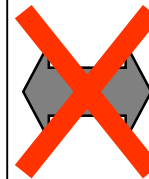
```
order = {  
  item [  
    {  
      quantity: 35,  
      price: 29.99  
      description: "Small Rodent, Generic",  
    },  
    {  
      price: 149.95  
      description: "Catapult",  
      quantity: 1,  
    }  
  ]  
  customer: {  
    name: "I. Wannabuy",  
    address: ... ,  
  }  
}
```



Well, not quite. For starters, order is NOT important in Objects.

# Mapping XML to Objects

```
<order>
  <item>
    <quantity>35</quantity>
    <price>29.99</price>
    <description>Small Rodent, Generic</description>
  </item>
  <item>
    <price>149.95</price>
    <description>Catapult</description>
    <quantity>1</quantity>
  </item>
  <customer>
    <name>I. Wannabuy</name>
    <address> ... </address>
  </customer>
</order>
```

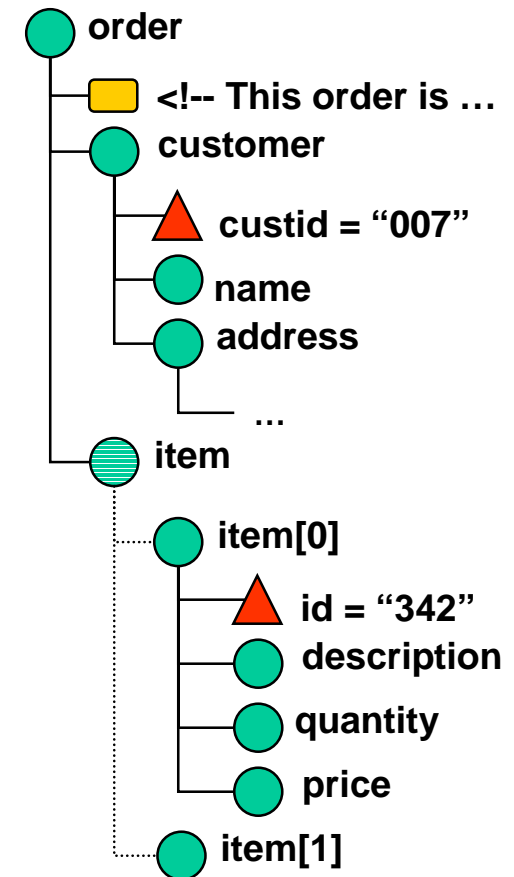


But, order is critical in XML.

# Mapping XML to Objects

## What's Missing?

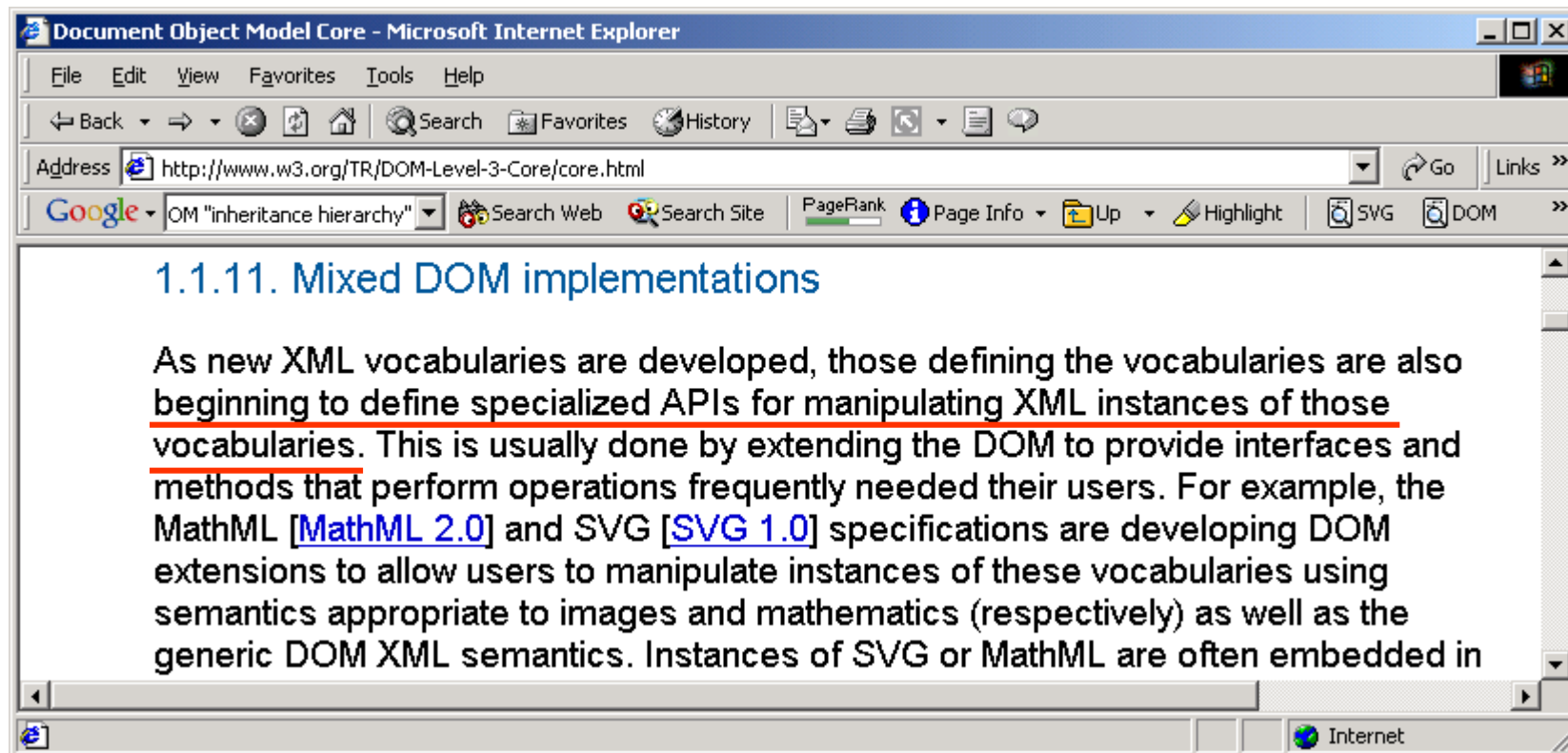
- Well defined order semantics
  - What is property order for new object?
  - Where are new properties added?
  - What is impact of deleting properties?
- Operators for controlling order
  - Specify property order
  - Modify property order
  - Preserve property order
- Operators for creating and manipulating additional XML artifacts
  - Attributes, Comments, PIs
  - Mixed content



Bottom line: ECMAScript object model is insufficient for XML data.

# Specialized DOM APIs

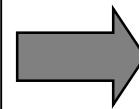
- Vocabulary specific DOM extensions



# SVG DOM Example

- A great step forward!

```
function pointInsideRect(point, rect) {
    var px = Number(point.getAttribute("x"));
    var py = Number(point.getAttribute("y"));
    var rx = Number(rect.getAttribute("x"));
    var ry = Number(rect.getAttribute("y"));
    var rw = Number(rect.getAttribute("width"));
    var rh = Number(rect.getAttribute("height"));
    if ((px > rx) && (px < rx + rw)
        && (py > ry) && (py < ry + rh))
        return true;
    else
        return false;
}
```



```
function pointInsideRect(point, rect) {
    if ((point.x > rect.x)
        && (point.x < rect.x + rect.width)
        && (point.y > rect.y)
        && (point.y < rect.y + rect.height))
        return true;
    else
        return false;
}
```

# SVG DOM Example

## What's Missing?

- A flat learning curve
  - Adds 145 new data types!
  - Includes a complex inheritance hierarchy
  - Includes specialized types for lists, enumerations, units, etc.
- A general purpose solution
  - Requires highly specialized knowledge
  - Works only for navigating SVG
  - Each new vocabulary requires a new set of interfaces.
- Read-only
  - Requires DOM interfaces for modifying document.

```
circle.setAttribute("r", radius);    // NOT circle.r = radius;
```

S

The screenshot shows a Microsoft Internet Explorer browser window displaying the W3C SVG 1.0 Specification. The address bar shows the file path: file:///C:/jcs/Work/Technology/XML/SVG/CR%2020000802/baths.html#DOMInterfaces. The page title is "Paths - W3C SVG 1.0 Specification - Candidate Recommendation 20000802 - Microsoft Internet Explorer".

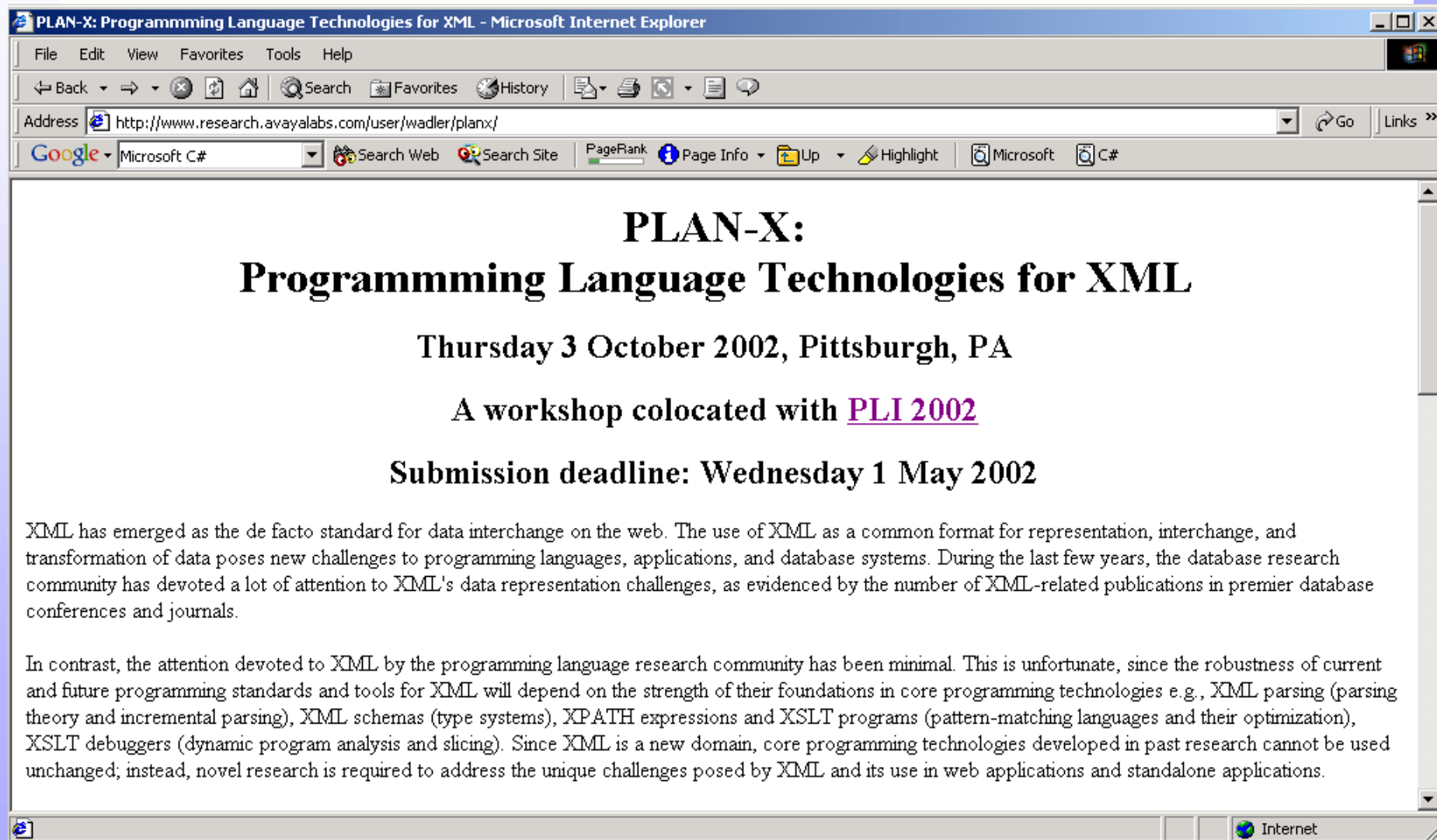
A second browser window is open, displaying the "Basic Data Types and Interfaces - W3C SVG 1.0 Specification - Candidate Recommendation 20000802 - Microsoft Inte...". The address bar shows the file path: file:///C:/jcs/Work/Technology/XML/SVG/CR%2020000802/types.html#BasicDOMInterfaces. The page title is "Basic Data Types and Interfaces - W3C SVG 1.0 Specification - Candidate Recommendation 20000802 - Microsoft Inte...".

The main window displays the IDL Definition for the SVGList interface. The IDL Definition is as follows:

```
interface SVGList {  
  
    readonly attribute unsigned long numberOfItems;  
  
    void clear ( )  
        raises( DOMException );  
    Object initialize ( in Object newItem )  
        raises( DOMException, SVGException );  
    Object createItem ( );  
    Object getItem ( in unsigned long index )  
        raises( DOMException );  
    Object insertItemBefore ( in Object newItem, in unsigned long index )  
        raises( DOMException, SVGException );  
    Object replaceItem ( in Object newItem, in unsigned long index )  
        raises( DOMException, SVGException );  
    Object removeItem ( in unsigned long index )  
        raises( DOMException );  
    Object appendItem ( in Object newItem )  
        raises( DOMException, SVGException );  
  
};
```

The browser window also shows a search bar with "Google" and "2 ECMA" and a status bar with "Done" and "My Computer".

# What's Next?



PLAN-X: Programming Language Technologies for XML - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Home Search Favorites History Print Copy Paste

Address <http://www.research.avayalabs.com/user/wadler/planx/> Go Links

Google Microsoft C# Search Web Search Site PageRank Page Info Up Highlight Microsoft C#

## PLAN-X: Programming Language Technologies for XML

Thursday 3 October 2002, Pittsburgh, PA

A workshop colocated with [PLI 2002](#)

**Submission deadline: Wednesday 1 May 2002**

XML has emerged as the de facto standard for data interchange on the web. The use of XML as a common format for representation, interchange, and transformation of data poses new challenges to programming languages, applications, and database systems. During the last few years, the database research community has devoted a lot of attention to XML's data representation challenges, as evidenced by the number of XML-related publications in premier database conferences and journals.

In contrast, the attention devoted to XML by the programming language research community has been minimal. This is unfortunate, since the robustness of current and future programming standards and tools for XML will depend on the strength of their foundations in core programming technologies e.g., XML parsing (parsing theory and incremental parsing), XML schemas (type systems), XPATH expressions and XSLT programs (pattern-matching languages and their optimization), XSLT debuggers (dynamic program analysis and slicing). Since XML is a new domain, core programming technologies developed in past research cannot be used unchanged; instead, novel research is required to address the unique challenges posed by XML and its use in web applications and standalone applications.

Internet



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# Proposed Approach

- Add a native XML data type to ECMAScript
  - An *ordered* collection of XML properties with a name, base-object (i.e, parent) and set of XML attributes
  - XML Properties can be XML, comments, PIs or text
- Reuse existing operators and extend with semantics for XML (e.g., property accessors)
- Add a minimal set of new operators for common XML operations (e.g., searching and filtering)

# Add a Native XML Object

```
// create a new XML object from a string  
var order = new XML("<order/>");
```

```
// create an new XML object from a file  
var doc = new XML(filename);
```

```
// create an XML wrapper for manipulating the document object  
var doc = XML(document);
```

# Reuse Familiar Operators

```
// get the customer's address from the order  
var address = order.customer.address;
```

```
// get the second item from the order  
var secondPrice = order.item[1];
```

ToPrimitive automatically  
gets values of leaf nodes

```
// calculate the total price for the second item in the order  
var secondTotal = order.item[1].price * order.item[1].quantity;
```

```
// change the quantity of the first item  
order.item[0].quantity = 18;
```

Assignment of primitive  
value creates leaf node

```
// append a grand total to the order  
order.total = grandTotal;
```

New properties are  
always appended to end

# Reuse Familiar Operators

```
// access children of second item by ordinal value instead of name
var description = order.item[1][0];           // get description
order.item[1][2] = 9.95;                     // change price
```

```
// access prices as an array
var prices = order.item.price;
var secondPrice = order.item.price[1];
order.item.price[1] = 19.95;
```

```
// iterate over all the items in the order
for (i in order.item) { ... }
```

```
// create a list of selected items from the order
var selectItems = order.item[1] + order.item[3] + order.item[6];
```

Property accessor is also defined for XML lists



Addition operator concatenates XML values



# Reuse Edition 4 Concepts

```
// declare XML typed variables  
var order : XML;
```

I still like the following syntax better:  
XML order; // ;-)

```
// import specific XML types using an XML Schema  
import PurchaseOrder.xsd;
```

```
// declare XML namespaces  
namespace soap as "http://schemas.xmlsoap.org/soap/envelope/";  
namespace stock as "http://mycompany.com/stocks";
```

```
// use qualified names to manipulate namespace qualified elements  
var body = message.soap::Body;  
message.soap::Body.stock::GetTradePrice.symbol = "MYCO";
```

# New Operators

```
// attribute accessor: access XML attributes as specially named properties  
var custid = order.customer.@custid;  
order.item[1].@id = "123";
```

```
// descendent operator: search without specifying full path
```

```
var prices = order..price;  
var paragraphs = document..p;
```

Reduces dependencies  
on containment hierarchy



```
// filtering predicate: e.g., get descriptions of items that cost less than $50  
var cheapItems = order.item.(price < 50).description;
```

```
// get property list: get all the child elements of order  
var orderData = order.*;
```

```
// get attribute list: get all XML attributes associated with the customer  
var custAttributes = order.customer.*;
```

# XML Literals

```
// replace the customer address with a new one
order.customer.address = <address>
  <street>53 Party Lane</street>
  <city>Big Town</city>
  <state>Washington</state>
  <zip>98008</zip>
</address>;
```

Parsing may be handled similar to RegEx literals

May embed expressions anywhere in literal

```
// append a new empty item using nextItemNum as the id
order.item += <item id={nextItemNum++}/>;

// add a calculated prefix (e.g, Mr., Mrs.) in front of the customer name
order.customer.name = <prefix>{prefix}</prefix> + order.customer.name;

// replace the children of the customer element with empty elements
order.customer.* = <name/> + <address/>;
```



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# Conclusions

- Scripters are inundated with XML processing tasks
- Current tools are complex and unfamiliar to scripter
- XML to Object mapping techniques are insufficient
- The proposed approach will
  - Empower more people to do more useful stuff with XML
    - Minimize the required knowledge, expertise, time and money
    - Scripters can start with little or no additional knowledge
  - Reduce code complexity, time to market and revision cycles
  - Decrease XML footprint requirements
  - Enable looser coupling between code and external data formats

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# Recommended Actions

- Acknowledge importance of XML to scripters
- Recognize opportunity for ECMAScript
- Establish an XML sub-group of TC39/TG1 to
  - Review our proposal in detail
  - Develop ECMAScript extensions for XML
- Join our team in the interim to keep up with the latest developments!

# Proposed Logistics

- Meetings: 1/2 - 1 day held coincident with TC39/TG1
  - Membership largely overlapping
  - Individuals may opt to participate in TG1, the XML sub-group or both groups
- Product:
  - Specification formalizing syntax and semantics required in addition to Edition 4
- Target date: June 2003
- Editor: John Schneider
- Convener: to be decided by TG1

### Scripters are Swamped with XML

### The XML Programming Model

#### The DOM

The DOM requires:

```
function addTotal(document) {
  total = 0;
  items = document.getElementsByTagName("item");
  for (i = 0; i < items.length; i++) {
    item = items.item(i);
    price = item.getElementsByTagName("price").item(0);
    priceValue = price.item(0).nodeValue();
    quantity = item.getElementsByTagName("quantity").item(0);
    quantityValue = quantity.item(0).nodeValue();
    total += priceValue * quantityValue;
  }
  totalText = document.createTextNode(total);
  totalElem = document.createElement("total");
  totalElem.appendChild(totalText);
  document.item(0).appendChild(totalElem);
}
```

The scripter thinks:

```
function addTotal(order) {
  total = 0;
  for (i in order.item) {
    total += i.price * i.quantity;
  }
  order.total = total;
}
```

### Mapping XML to Objects

#### What's Missing?

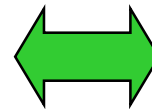
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  - Modify property order
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- Operators for creating and manipulating additional XML artifacts
  - Attributes, Comments, PIs
  - Mixed content

Bottom line: ECMAScript object model is insufficient for XML data.

# Questions and Discussion

The scripter thinks:

```
function addTotal(order) {
  total = 0;
  for (i in order.item) {
    total += i.price * i.quantity;
  }
  order.total = total;
}
```



XScript enables:

```
function addTotal(order) {
  total = 0;
  for (i in order.item) {
    total += i.price * i.quantity;
  }
  order.total = total;
}
```

# Backup Slides

# Why Standardize?

- **Timing.** If we don't act now, market need will generate disparate, incompatible solutions
- **Market.** The benefits of this technology extend to a broad range of products
- **Value.** The network effects of an open standard are more valuable than a proprietary approach